

Tree Grammar

- **Regular tree grammar** : $G = (N, \mathcal{F}, R, S)$
 - N : a set of non-terminal symbols
 - \mathcal{F} : a set of terminal (function) symbols
 - R : a set of production rules

Form of production rules

$$A \rightarrow \alpha \quad (A \in N, \alpha \in T(\mathcal{F} \cup N))$$

- $S (\in N)$: **Start symbol (axiom)**

- **Ex:** $G = (\{List, Nat\}, \{0, nil, s(), cons(,)\}, R, List)$,
where R consists of the following rules

$List \rightarrow nil, List \rightarrow cons(Nat, List),$

$Nat \rightarrow 0, Nat \rightarrow s(Nat)$

- **Production of $cons(s(0), nil)$**

$List$

$\rightarrow_G cons(Nat, List)$

$\rightarrow_G cons(s(Nat), List)$

$\rightarrow_G cons(s(0), List)$

$\rightarrow_G cons(s(0), nil)$

- **Derivation relation** \rightarrow_G ($\subseteq T(\mathcal{FUN}) \times T(\mathcal{FUN})$):
minimal set satisfying
 - $R \subseteq \rightarrow_G$, and
 - $\alpha \rightarrow_G \beta$ implies $f(\dots \alpha \dots) \rightarrow_G f(\dots \beta \dots)$
- **Language generated by G :**

$$L(G) = \{s \mid S \rightarrow_G^* s \in T(\mathcal{F})\}$$

- **Theorem:** A language is generated by regular tree grammar if and only if it is regular
- **Proof (\supseteq):** Construct regular tree grammar $G = (Q \cup \{S\}, \mathcal{F}, R, S)$ from NFTA $A = (Q, \mathcal{F}, Q^f, \Delta)$:
 - $S \rightarrow q \in R$ for each $q \in Q^f$, and
 - $q \rightarrow f(q_1, \dots, q_n) \in R$ for each $f(q_1, \dots, q_n) \rightarrow q$
- **Proof sketch (\subseteq):** Construct NFTA $A = (N \cup Q, \mathcal{F}, \{S\}, \Delta)$ from regular tree grammar $G = (N, \mathcal{F}, R, S)$:
 - For each $List \rightarrow cons(s(Nat), List) \in R$, construct rules by introducing a fresh state q :

$$s(Nat) \rightarrow q \in \Delta, \quad cons(q, List) \rightarrow List \in \Delta$$

- **Q5-1: Let $\mathcal{F} = \{a, g(), f(,)\}$. Show a regular tree grammar equivalent to following NFTA:**

$$\begin{array}{ll} a \rightarrow q_a & a \rightarrow q_{\perp} \\ f(q_a, q_{\perp}) \rightarrow q_1 & g(q_{\perp}) \rightarrow q_{\perp} \\ g(q_1) \rightarrow q_{\varepsilon} & f(q_{\perp}, q_{\perp}) \rightarrow q_{\perp} \end{array}$$

- **Q5-2: Let $\mathcal{F} = \{a, g(), f(,)\}$. Show an NFTA with start symbol X that is equivalent to the following grammar:**

$$X \rightarrow f(g(A), A), \quad A \rightarrow g(g(A)), \quad A \rightarrow a$$

- **Q5-3: Complete the proof (\supseteq) in page 4, i.e. prove by induction on n that $t \xrightarrow{A}^n q$ implies $q \xrightarrow{G}^* t$ for any $t \in \mathcal{T}(\mathcal{F})$, $q \in Q$, $n \in \mathbb{N}$**

Regular tree expressions

- Ex.: regular tree expression $f(\square, \square)^*, \square . \square a$ represents language $\mathbb{T}(\{f(,), a\})$
- Ex.: regular tree expression $s(\square)^*, \square . \square 0$ represents language $\{s^n(0) \mid n \geq 0\}$
- Ex.: regular tree expression $cons((s(\square_1)^*, \square_1 . \square_1 0), \square_2)^*, \square_2 . \square_2 nil$ represents 'List'

- $\mathcal{K} = \{\square_1, \dots\}$ is set of variables for substitution
- **tree substitution:** (Rem.: different notion from substitution in slide 1)

For $t \in \mathsf{T}(\mathcal{F} \cup \mathcal{K})$ and a tree language L_i ,

$$\begin{aligned}
 & t\{\square_1 \leftarrow L_1, \dots, \square_n \leftarrow L_n\} \\
 = & \begin{cases} L_i & \dots \text{ if } t = \square_i \\ \{a\} & \dots \text{ if } t = a \in \mathcal{F} \\ \{f(t_1, \dots, t_n) \mid t_i \in s_i\{\square_1 \leftarrow L_1, \dots, \square_n \leftarrow L_n\}\} & \dots \text{ if } t = f(s_1, \dots, s_n) \end{cases}
 \end{aligned}$$

$$\begin{aligned}
 & L\{\square_1 \leftarrow L_1, \dots, \square_n \leftarrow L_n\} \\
 = & \bigcup_{t \in L} t\{\square_1 \leftarrow L_1, \dots, \square_n \leftarrow L_n\}
 \end{aligned}$$

- **Ex.:** $f(\square, \square)\{\square \leftarrow \{a, b\}\}$
 $= \{f(a, a), f(a, b), f(b, a), f(b, b)\}$

- **Operations on languages**

- **Concatenation:** $L \cdot_{\square_i} M = L\{\square_i \rightarrow M\}$

- **Power:**

$$L^{0, \square_i} = \{\square_i\}, \quad L^{k+1, \square_i} = L^{k, \square_i} \cup L \cdot_{\square_i} L^{k, \square_i}$$

- **Closure:** $L^{*, \square_i} = \bigcup_{k \geq 0} L^{k, \square_i}$

- **Ex.:**

$$\begin{aligned} & \{a, f(\square, \square)\}^{*, \square} = \\ & \{\square\} \cup \\ & \{a, f(\square, \square)\} \cup \\ & \{f(\square, a), f(\square, f(\square, \square)), \\ & \quad f(a, \square), f(a, a), f(a, f(\square, \square)), \\ & \quad f(f(\square, \square), \square), f(f(\square, \square), a), f(f(\square, \square), f(\square, \square))\} \cup \\ & \quad \vdots \end{aligned}$$

- **Regular tree expression** E , tree language $\llbracket E \rrbracket$:
 Let E and E_i be regular tree expressions (RTE)
 - \emptyset is RTE, and $\llbracket \emptyset \rrbracket = \{\}$
 - $a \in \mathcal{F} \cup \mathcal{K}$ is RTE, and $\llbracket a \rrbracket = \{a\}$
 - For $f \in \mathcal{F}$, $f(E_1, \dots, E_n)$ is RTE, and

$$\llbracket f(E_1, \dots, E_n) \rrbracket = \{f(t_1, \dots, t_n) \mid t_i \in \llbracket E_i \rrbracket\}$$
 - $E_1 + E_2$ is RTE, and $\llbracket E_1 + E_2 \rrbracket = \llbracket E_1 \rrbracket \cup \llbracket E_2 \rrbracket$
 - $E_1 \cdot_{\square_i} E_2$ is RTE, and

$$\llbracket E_1 \cdot_{\square_i} E_2 \rrbracket = \llbracket E_1 \rrbracket \cdot_{\square_i} \llbracket E_2 \rrbracket$$
 - E^{*, \square_i} is RTE, and $\llbracket E^{*, \square_i} \rrbracket = \llbracket E \rrbracket^{*, \square_i}$

- **Q5-4: Consider languages on $\mathcal{F} = \{a, g(), f(,)\}$:**

$$L_1 = \{g^k(a) \mid k \geq 0\}, \text{ and}$$

$$L_2 = \{f(t_1, t_2) \mid t_1, t_2 \in L_1\}$$

Show a regular tree expression that represents $L_1 \cup L_2$