## Minimization

- Relation  $\equiv \subseteq S \times S$  is an equivalent relation if the following properties hold:
  - Reflectively:  $\forall t \in S, t \equiv t$
  - Symmetricity:  $\forall t, s \in S, t \equiv s \Rightarrow s \equiv t$
  - Transitivity:  $\forall t, s, u \in S$ ,  $(t \equiv s \land s \equiv u) \Rightarrow t \equiv u$
- S is divided into equivalence classes by  $\equiv$ . Index  $|\equiv|$  is the number of the classes
  - Equivalence class containing t:

$$[t]_{\equiv} = \{s \mid t \equiv s\}$$

$$-S = \bigcup_{t \in S} [t]_{\equiv}$$

• Equivalence relation  $\equiv$  on  $\top(\mathcal{F})$  is congruence relation if the following property holds

 $t_1 \equiv s_1, \ldots, t_n \equiv s_n \text{ implies } \forall f \in \mathcal{F}, f(t_1, \ldots, t_n) \equiv f(s_1, \ldots, s_n)$ 

• Congruent relation  $\equiv_L$  on  $\top(\mathcal{F})$  is determined by a language L:

 $t \equiv_L s$  if and only if  $C[t] \in L \iff C[s] \in L$  for any context C

• Congruent relation  $\equiv_A$  on  $\top(\mathcal{F})$  is determined by a complete DFTA  $A = (Q, \mathcal{F}, Q^f, \Delta)$ :

 $t \equiv_A s$  if and only if  $t \to_A^* q$  and  $s \to_A^* q$ 

- Thorem(Myhill-Nerode)
   The following conditions are equivalent
   1 L is regular
  - 2 *L* is equal to union of some equivalence classes of a congruence relation with finite index
  - $\mathbf{3} \equiv_L \mathbf{has} \mathbf{a}$  finite index

- Proof of  $(1 \Rightarrow 2)$ : Let  $A = (Q, \mathcal{F}, Q^f, \Delta)$  be a complete DFTA recognizing *L*. Then
  - $-\equiv_A$  has a finite index since index is not greater than number of states of A, and

$$-L = \bigcup_{t \in L} [t]_{\equiv_A}$$

 $\bullet$  Proof of (2  $\Rightarrow$  3): Letting  $\sim$  be congruence relation determined by 2, we show that

 $\forall t \in \mathsf{T}(\mathcal{F}), \ [t]_{\sim} \subseteq [t]_{\equiv_L}, \ \mathbf{i.e.} \ | \sim | \geq | \equiv_L |$ 

- Letting  $s \in [t]_{\sim}$ ,  $s \sim t$ . Since  $\sim$  is congruent,  $C[s] \sim C[t]$  for any context C. Thus  $C[s] \in$   $L \iff C[t] \in L$  holds by 2. Therefore  $s \equiv_L t$ , i.e.  $s \in [t]_{\equiv_L}$ 

- Proof of (3 ⇒ 1): Construct FTA A<sub>min</sub> = (Q, F, Q<sup>f</sup>, Δ) from ≡<sub>L</sub>:
  Q = {[t]≡<sub>L</sub> | t ∈ T(F)}
  f([t<sub>1</sub>]≡<sub>L</sub>,..., [t<sub>n</sub>]≡<sub>L</sub>) → [f(t<sub>1</sub>,...,t<sub>n</sub>)]≡<sub>L</sub> ∈ Δ (DFTA from congruence property of ≡<sub>L</sub>)
  Q<sup>f</sup> = {[t]≡<sub>L</sub> | t ∈ L}
  From t →<sup>\*</sup><sub>A<sub>min</sub> [t]≡<sub>L</sub> and construction of Q<sup>f</sup>,
  </sub>
  - $A_{\min}$  recognizes L

- Cor.: Minimum DFTA recognizing a regular tree language *L* is uniquely determined as  $A_{\min}$  in Myhill-Nerode theorem under renaming
- Proof: Considering DFTA A such that L = L(A), from Myhill-Nerode theorem  $[t]_{\equiv_A} \subseteq [t]_{\equiv_L}$  for any t
  - States of A are not less than states of  $A_{min}$  . Thus  $A_{min}$  is minimum
  - Uniqueness is clear from  $[t]_{\equiv_A} \subseteq [t]_{\equiv_L}$
- Classes of  $\equiv_A$  (sates of A) are indistinguishable if they are contained a class of  $\equiv_L$ .

- Minimization of DFA A: merging indistinguishable classes of  $\equiv_A$  (states of A)
- Property to distinguish classes
  - If  $q \in Q^f$  and  $q' \in Q \setminus Q^f$ , then q and q' are distinguishable
  - If q and q' are distinguishable, and  $f(\cdots p \cdots) \rightarrow q$ ,  $f(\cdots p' \cdots) \rightarrow q' \in \Delta$ then p and p' are distinguishable

## • Ex.: $A = (\{q_a, q_b, \underline{q_{ab}}, q_{ba}\}, \{a, b, f(,)\}, \Delta, \{q_{ab}, q_{ba}\})$ $a \rightarrow q_a,$ $b \rightarrow q_b,$ $f: \begin{array}{c} q_a & q_b & q_{ab} & q_{ba} \\ q_a & q_a & q_{ab} & q_{ab} & q_{ab} \\ q_{ba} & q_{ba} & q_{b} & q_{ba} & q_{ba} \\ q_{ab} & q_{ab} & q_{ab} & q_{ab} & q_{ab} \\ q_{ba} & q_{ba} & q_{ba} & q_{ba} & q_{ba} \end{array}$

- Enumerate distinguishable states
  - every final state and non-final state are distinguishable
  - From  $f(q_a, q_a) \rightarrow q_a$  and  $f(q_b, q_a) \rightarrow q_{ba}$ ,  $q_a$  and  $q_b$  are distinguishable

$$\begin{array}{c|c|c|c|c|c|c|c|c|}\hline\hline & q_a & q_b & q_{ab} & q_{ba}\\\hline & q_b & \times & - & - & -\\\hline & q_{ab} & \times & \times & - & -\\\hline & q_{ba} & \times & \times & - & -\\\hline \end{array} \times : \text{ distinguishable } -: \text{ N/A}$$

-  $q_{ab}$  and  $q_{ba}$  can be merged

## • Decidable problems

- Emptiness:  $L(A) = \emptyset$  ?
- Finiteness: L(A) is finite ? Existence of loop  $C[q] \rightarrow^*_A q$  ?
- Singleton set property: L(A) is singleton ?
- Equivalence: L(A) = L(A') ?